

# Resume of Ezra Zask

[www.ezrazask.com](http://www.ezrazask.com)

718-288-7957

## Summary

- 40+ years senior positions in financial services as entrepreneur, manager, revenue generator, thought leader, and communicator
- Founded and grew successful companies including hedge fund, two fund of hedge funds, two internet investment management companies, and consulting firm
- Proven record, effectively managing large and complex trading and derivatives operations and consulting firms
- Record of founding and growing companies, raising funds, marketing, and business development.
- Effective in communicating complex ideas to colleagues and clients via presentations, writing and education

## Skills

Entrepreneurship – business development – investor relations – fund raising – quantitative finance --leadership and people development – writing -- teaching and training – strategic planning and execution – project management -- quantitative finance – investment management – revenue generation and growth – sales and marketing revenue generator – communication – public presentation -- research, analysis and report writing

## Expertise

Investment management, wealth management, alternative investments, hedge funds, behavioral finance, financial markets, complex securities and derivatives, quantitative financial methods, cryptocurrency, FinTech, mergers and acquisitions, valuation, trading, risk management, operations, money management funds, regulation, compliance

## Experience

### Founder and President | Jan 2016 - Present

#### Ezra Zask Financial Consulting

- Provides bespoke and confidential consulting services to money managers, investment advisors and high net worth individuals in investment management and alternative investments, portfolio construction, cryptocurrency and FinTech
- Authored a comprehensive compendium of US regulations and compliance for brokers and investment advisors sold to finance professionals
- Established Investment Education Forum providing books and courses including [Hedge funds 3.0](#), [Investment Strategies in the Coming Deflation](#), and [Profiting from Behavior Finance Investing](#). (Available on Amazon Kindle and YouTube). wrote numerous research reports, white papers, blogs, articles and presentations on investment management and financial service. Maintains an active blog on [www.ezrazask.com/blog](http://www.ezrazask.com/blog)

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## **Founder and Manager | Dec 2013 – Feb 2016**

Liquid Alternative Investment Company

- created and managed a pioneering internet company that developed a comprehensive data base and portfolio analytical software and consulting services on alternative strategy mutual funds and Exchange Traded Funds

## **Founder and President | Jan 2005 – Dec 2014**

SFC Associates

- Developed and managed a highly successful consulting practice with 15 employees and multi-million dollars revenue
- Provided litigation support and expert witness services to some of the largest law firms in highly visible complex securities litigation
- Testified in New York State Supreme Court. FINRA arbitrations, bankruptcy court, and SEC
- Responsible for all aspects of the firm including research, writing expert reports, and expert witness testimony in over 40 cases involving the Madoff Ponzi scheme, the Lehman and Bear Stearns bankruptcies, suitability and due diligence by hedge funds, JP Morgan, and sub-prime mortgage securities
- Achieved a favorable winning record gaining favorable outcomes for my clients.

## **Managing Director | Apr 2003 - Jul 2005**

Ibbotson Associates

- Developed a new subsidiary that applied Ibbotson's world class quantitative investment management software to hedge funds and alternative investments for manager selection, portfolio optimization, and risk management
- Marketed Ibbotson's programs and consummated lucrative partnerships with a UK private bank, and U.S. fund of hedge funds, and a wealth management boutique
- Provided outsourced risk management service for major money manager
- Responsible for marketing, manager selection and portfolio construction

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## **Managing Director – Business Development | 2005-2006**

Azimuth Trust Company

- Successfully secured high net worth customers for this family office and fund of hedge funds
- Developed a joint program with a private bank to market Azimuth's program and advise high net worth clients on the integration of hedge funds and traditional assets

## **Partner and Managing Director | 2001-2002**

Advisor Software Inc.

- Responsible for business development and marketing for startup Internet company providing online portfolio construction and risk management for investment advisors for their high-net-worth clients and 401k assets
- Provided customer feedback and helped develop the advisor program
- Marketed Advisor Software's system to wealth management firms

## **Managing Director | Research Director – 1998-2012\_**

Berkshire Securities

- As research director for this boutique investment bank, I enhanced the reputation of the bank by authoring several well received reports on M&A industry transaction and trends and quarterly updates and white papers.
- Sourced and help execute M&A transactions for financial service companies.

## **Founder and President | 1991 - 1998**

Ezra Zask Associates

- Founded, grew, and managed an innovative, large, well-known, and profitable hedge fund
- Responsible for staffing, trading, operations, risk management and compliance to CFTC regulation
- The fund used quantitative techniques, leverage and derivatives in currencies and interest rates
- Raised over \$200 million from banks, insurance companies, sovereign wealth funds, brokers, high net worth individuals and family offices.

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## **Global Trading Manager | 1998 – 2001**

Mellon Bank

- Ran a large, global bank trading operation with upwards of 50 dealers trading currencies and interest rate spot, futures, swaps, and options in six locations
- In charge of managing traders, back office, compliance, trading systems, marketing, and position management
- Managed a large propriety position for the bank
- Developed and ran successful roundtable discussions with corporate clients

## **Managing Director and European Trading Manager | 1990 – 1998**

Manufacturers Hanover Trust Company

- Trading manager based in London with overall responsibility for 12 dealing rooms throughout Europe and 75 traders
- Responsible for significant increase in revenue during my tenure
- Introduced new products (swaps and options) to traders and customers
- Responsible for trading, position risk management, compliance, personnel, propriety trading, front and middle office systems, marketing, and business development

## **Adjunct Instructor | September 2005 – June 2006**

Yale University Graduate School of Management

- Taught courses on investments, portfolio management and hedge funds
- Arranged for prominent hedge fund managers to speak to the class

## **Adjunct Instructor | September 2006 – May 2007**

Princeton University Bendheim Center for Finance

- Taught course on quantitative portfolio and risk management jointly with operations department

## **Adjunct Instructor | Sep 2010 – Jun 2012**

Fordham University Gabelli School of Management

- Taught graduate courses on investment management, venture capital and private equity, and hedge funds.

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## Publications

- [All About Hedge Funds, Second Edition](#): McGraw Hill, 2013
- [The eFinance Reporter](#): McGraw Hill, 2002
- [Global Investment Risk Management](#): McGraw Hill, 1997
- [How to profit from Behavioral Finance](#): Amazon Press, 2019
- Articles in several publications, product descriptions, marketing and presentation material, research, and white papers
- Maintain Blog at [www.ezrazask.com/blog](http://www.ezrazask.com/blog)

## Education

### **Master's degree, ABD in International Affairs | September 1972 – May 1975**

Columbia University Graduate School of Arts and Sciences

Graduated with honors, received National Science Foundation Grant and President's Fellowship

### **Bachelor of Arts**

Princeton University | Sep 1968 – June 1972

Graduated cum Laude, received Class of '03 Scholarship