

Resume
EZRA ZASK

Consulting and Investment Management (1998 – Present)

SFC Associates Founder & President, (2009 - Present)

Consulting and expert witness on investment suitability, valuation, damages, complex derivatives and structured products, hedge fund due diligence and valuation, mortgage backed securities, currency and commodity trading, risk management, Ponzi schemes and brokerage and bank issues; testified over 20 times in FINRA arbitrations; New York Supreme Court (Civil), and SEC Administrative Proceedings; Law firms worked with include Dechert; Morgan Lewis; Sullivan & Cromwell; Weil Gotshal.

Ezra Zask Advisors, LLC, Founder and President, (2006 - 2009)

Managed and advised on investment portfolios for high net worth individuals, fund of funds, wealth management companies, endowments and pension funds, including portfolios comprised of hedge funds, equities, fixed income, commodities, structured products and derivatives. Functions included extensive hedge fund due diligence, asset allocation and portfolio construction and risk management, including value-at-risk methodology.

Paradigm Multi-Strategy Fund I, LLC and Paradigm Fund Trust, President (2006)

Managed all aspects of Securities Exchange Commission (SEC) registered fund of hedge funds that invested in over 40 hedge funds. Functions included complete responsibility for manager due diligence, risk management, compliance and marketing.

Azimuth Alternative Investments, Managing Director, (2005 - 2006)

Developed and managed investment portfolios, including hedge funds, for fund of hedge funds and ultra-high net worth individuals for a private bank. Functions included risk management, portfolio construction and hedge fund due diligence.

Ibbotson Associates Advisors, LLC Founder and Manager, (2002 - 2005)

Managed investment management portfolios for private banks, fund of funds, institutional investors and high net worth individuals. Functions included hedge fund due diligence, portfolio construction and asset allocation and risk management. Served as consultant to Standard and Poors in their development of a hedge fund index product, including manager selection and due diligence.

Advisor Software, Inc. Managing Director - Business and Product Development, (2000-1)

Internet service providing financial management and asset allocation software to investment managers.

Berkshire Capital Securities Managing Director, (1998 - 2000) Director, (2006 - 2008)

Conducted valuation and executed transactions at firm specializing in M&A on asset management firms.

Hedge Fund Trading and Management (1991 - 1998)

Ezra Zask Associates, LLC, Founder and President, (1991 - 1998)

Managed all aspects of CFTC registered hedge fund/CTA with \$200 million AUM using currency and interest rate arbitrage and trend following futures trading programs; partner in Raynor & Stonington trading arbitrage strategies in FX, commodities, interest rates and equities and Barrington Capital Management, an emerging market fixed income and currency fund.

Bank Trading in Securities and Derivatives (1980 - 1991)

Managed extensive trading operations in currencies, interest rates and derivatives including proprietary trading, marketing and risk management in spot, forwards, futures, swaps and options.

Mellon Bank, Capital Markets Group

SVP and Global Trading Manager, (1988 - 1991)

Manufacturers Hanover Trust Company

Managing Director, European Treasury Manager, Chief Dealer, and Manager of the International Treasury Consulting Group, (1980 - 1988)

Economics and Finance (1977 – 1998)

Business International Corporation, Senior Associate (1977 - 1980)

Developed Country Risk Assessment Service for banks and corporations

U.S. Agency for International Development (1997 - 1998)

Provided training programs for Russian bankers in Moscow and Volgograd

Academia (1975 – Present)

Adjunct Professor teaching graduate courses on hedge funds, investments, securities, derivatives

Fordham University Graduate School of Business, 2009 - 2011

Rensselaer Polytechnic Institute, Lally School of Management and Technology, 2007- 2009

Yale University School of Management, 2005 - 2006

Princeton University, Bendheim Center for Finance, 2004 - 2005

Carnegie Mellon University, Graduate School of Industrial Administration, 1998 - 1990

Columbia University, Fordham University, Barnard College, Marymount College, 1975-77

Select Publications and Industry Speaking Engagements

Books:

All About Hedge Funds (2nd Edition), McGraw-Hill, February 2013

The E-Finance Report, McGraw-Hill, 2001

Global Investment Risk Management, Edited, McGraw-Hill, 1999

Articles:

“Hedge Fund Industry Report,” *Journal of Alternative Investments*, February, 2000; “Hedge Fund Valuation,” *Journal of Alternative Investments*, June, 2000; “Derivatives Risk-Management Audit,” in *Derivatives Risk and Responsibility*, Robert A. Klein and Jess Lederman, editors, Irwin, 1996; “Currency Risk Management,” in *Strategic Currency Investing*, A.W. Gitlin, Probus, 1993; “Credit Derivatives,” and “Value-at-Risk for Hedge Funds,” in *Bloomberg Magazine*, 1999

Industry Profile:

Profiled in *Forbes*, *Euromoney* and *Derivatives Strategy*; Quoted in the *Wall Street Journal*, *Reuters*, *Bloomberg*; Speaker at numerous conferences for *Euromoney*; *HedgeWorld*; *IIR*; *Infovest21*; *Institutional Investor*; *Opal*

Education

Columbia University, M.A., M. Phil., 1975; Columbia University President’s Fellowship; New York State Herbert Lehman Fellowship; National Science Foundation Thesis Grant

Princeton University, B.A., *Cum Laude*, 1972